



EMMESSAR BIOTECH & NUTRITION LIMITED

29, Kamer Building, 4th floor, 38, Cawasji Patel Street, Fort, Mumbai – 400 001
Tel: 022-35661373 / 35664530 Email: enquiries@ebnl.org Website: www.ebnl.org
Investors Grievance Email: investors@ebnl.org CIN No. L24110MH1992PLC065942

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022.

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Priyanka O. Sharma, Company Secretary & Compliance Officer of the Company, have examined the following compliance requirement of Emmessar Biotech & Nutrition Limited (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations):

Sr. No.	Compliance Requirement	Yes/No	Observation/Remark
01.	Whether the Company has a Structured Digital Database in place?	Yes	
02.	Whether control exists as to who can access the SDD for read / write along with the names and PAN of such person?	Yes	
03.	Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same?	Yes	
04.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured & reason for the same?	Yes	
05.	Whether nature of UPSI have been captured along with date & time?	Yes	
06.	Whether name of persons who have shared the information has captured along with PAN or any other identifier?	Yes	
07.	Whether name of persons with whom information is shared has captured along with PAN or any other identifier?	Yes	
08.	Whether the database has been maintained internally?	Yes	
09.	Whether audit trail is maintained?	Yes	
10.	Whether time stamping is maintained?	Yes	
11.	Whether the database is non-tamperable?	Yes	
12.	Any other measures to ensure non-tamperability of the Database	Yes	

Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.

The number of days for which non-compliance was observed:

Further, I also confirm that the Company was required to capture 1 number of events during the quarter ended September 30, 2022 and has captured 1 number of the said required events.

For Emmessar Biotech & Nutrition Limited



Priyanka O. Sharma
Company Secretary & Compliance Officer
ICSI Membership No.: A52518

Encl: As above

Regd. Office & Factory: Plot No. T-3/2, MIDC Area, Taloja – 410208. Dist. Raigad. Maharashtra.
Email: enquiries@ebnl.org Website: www.ebnl.org

Note: The attached certificate should cover events which have been disclosed by the listed entity to the stock exchange so that the secretarial auditor will not be exposed to UPSI.

Sr.No.	Regulation	Particulars	Submitted on
1	Reg 30/33	Outcome of Board Meeting 20-10-2022 for Financial Results for the Quarter and Half Year ended September 30, 2022.	20-10-2022

